



U.S. Small Business
Administration



U.S. Small Business
Administration

Subcontracting Plans

Stephanie Lewis

National Subcontracting Program Manager (detail)

Stephanie.lewis@sba.gov

Hosts

**Charles Mason, Procurement Center
Representative SBA Office of Government
Contracting, Area IV, Omaha, NE**

**Steven Szalo, Procurement Center
Representative SBA Office of Government
Contracting, Area IV, Rock Island, IL**

Welcome to “SBA Virtual Learning 2021”

1. Questions answered during the final 10 minutes.
2. Technical problems: Contact your IT admin who manages Teams settings for your organization.
3. Captioning available for this presentation.
4. We cover the “**SBA Quick Reference**” as time allows.
5. For more SBA training visit the SBA Learning Center website <https://www.sba.gov/tools/sba-learning-center/search/training>

Procurement Technical Assistance Centers (APTAC)

Procurement Technical Assistance Centers are a vital resource partner.

- Contracting officer resources: “How PTACs partner with federal agencies”: <http://www.aptac-us.org/federal-partners/>
- Find your nearest Procurement Technical Assistance Center at <http://www.aptac-us.org>
- Past Learning Series Recordings: [SBA Webinar Library for Contracting Officers | APTAC \(aptac-us.org\)](#)

Small Business Administration YouTube Page

SBA YouTube page posts past “First Wednesday” programming at links below.

- November 4, 2020 – 8(a) Program: <https://youtu.be/QCXwMCFLB94>
- December 2, 2020 – SBIR/STTR: <https://youtu.be/uR-LkHLSnn8>
- January 6, 2021 – Surety Bonding: <https://youtu.be/3AmFc9syThA>
- February 3, 2021 – Size & Affiliation: <https://youtu.be/tga9GCvKeKw>
- March 3, 2021 – WOSB Program: https://youtu.be/-fX_RbXl2UU
- April 7, 2021 – Consolidation/Bundling: <https://youtu.be/VAi7USREwaE>
- May 5, 2021 – Certificate of Competency: <https://youtu.be/HTEsJvyjLkw>
- June 2, 2021 – Regulatory Updates: <https://youtu.be/WUEs4vgrDkw>

FIRST WEDNESDAY VIRTUAL LEARNING SERIES

FY 2021 SCHEDULE

1:00 to 2:00 PM Central Time

FY 2021	Date	Topic
1	October 7, 2020	8(a) Program
2	November 4, 2020	8(a) Program Updates
3	December 2, 2020	SBIR/STTR Program
4	January 6, 2021	Surety Bonds
5	February 3, 2021	Size and Affiliation
6	March 3, 2021	Women-Owned Small Business (WOSB) Program
7	April 7, 2021	Consolidation/Bundling
8	May 5, 2021	Certificate Of Competency (COC)
9	June 2, 2021	Legislature and Regulatory Updates
 10	July 7, 2021	Subcontracting Program
11	August 4, 2021	Joint Venture and Teaming

The program schedule is for information only and is subject to change.

One Continuous Learning Point

- Self-service: Using the PowerPoint that was sent with your invitation for this training event, fill in your name on the certificate slide and save. Download the certificate and print for your records. You submit your request for training credit IAW your agency policy, i.e. FAITAS.
- If you listen in groups and you want all attendees to be included on the future mailing list, send email addresses of participants in an excel document to sbalearning@sba.gov.

“SBA 1st Wednesday Virtual Learning 2021”

This Certificate is awarded to

Insert Your Name Here

For completion of

Subcontracting Program

This training seminar may be credited towards “Continuous Learning Points” as described in OFPP Policy Letter 05-01. Recommendation of One CLP.



Pamela J. Beavers
Director, Area IV
SBA Office of Government Contracting

7/07/2021

DATE

Today's Speaker(s)

Stephanie Lewis
National Subcontracting Program Manager
Office of Government Contracting
U.S. Small Business Administration

Course Objectives

- SBA's Workforce
- Subcontracting Plan Goals & FPDS Goals
- eSRS
- Order-level Reporting
- Monitoring Goal Achievement
- Common Errors

SBA Commercial Market Representatives

Area 1

Connecticut, Maine, Massachusetts, New Hampshire, New Jersey, New York, Rhode Island, Vermont, Commonwealth of Puerto Rico & the U.S. Virgin Islands

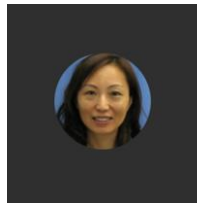
MR. CHRISTOPHER SAO
christopher.sao@sba.gov



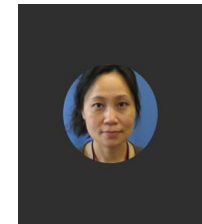
MR. GENE SPILLANE
eugene.spillane@sba.gov



MS. MALINDA CHEN
malinda.chen@sba.gov



MS. SANDY LIU
sandy.liu@sba.gov



Area 2

District of Columbia, Delaware, Maryland, Pennsylvania, Virginia, West Virginia (VACANT)

Vacant - defer to an Area 1 CMR at this time

Area 3

Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee

MS. ARNETTE L. MAYHEW
arnette.mayhew@sba.gov



MR. GARY W. HEARD, PH.D.
gary.heard@sba.gov



SBA Commercial Market Representatives

Area 4

Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, Ohio, Wisconsin

MS. DEBORAH CRUMITY

deborah.crumity@sba.gov



Area 5

Arkansas, Colorado, Louisiana, Montana, New Mexico, North Dakota, Oklahoma, South Dakota, Texas, Utah, Wyoming

MS. SOPHIA CHOU

sophia.chou@sba.gov



Area 6

Alaska, Arizona, California, Hawaii, Idaho, Nevada, Oregon, Washington, Territories of Guam, and Northern Marianas Islands

MS. JANICE NIETES

janice.nietes@sba.gov





Email portal for subcontracting

Subcontracting@sba.gov

**Monitored on a rotating basis by
the Area Office CMRs.**



Subcontracting Plan Goals & FPDS codes

Subcontracting Plan Goals

GOAL CATEGORIES:

Small Business (SB)

Small Disadvantaged Business (SDB)

Women-Owned Small Business (WOSB)

HUBZone Small Business (HUBZone)

Veteran-Owned Small Business (VOSB)

Service-Disabled VOSB (SDVOSB)

- HUBZone firms must be SBA certified (check DSBS)
- All other categories are self-certification (including WOSBs)

VA Contracts:

- SDVOSB/VOSB goals in individual and commercial subcontracting plans must be at least as high as the VA's annual SDVOSB/VOSB prime contracting goals
- VOSB/SDVOSB subcontractors must be in the VA's Vendor Information Pages (VIP) database.

VAAR 852.219-9 VA Small business subcontracting plan minimum requirements

(b) If the offeror is required to submit an individual subcontracting plan, the minimum goals for award of subcontracts to service-disabled veteran-owned small business concerns and veteran-owned small business concerns shall be at least commensurate with the Department's annual service-disabled veteran-owned small business and veteran-owned small business prime contracting goals for the total dollars planned to be subcontracted.

(c) For a commercial plan, the minimum goals for award of subcontracts to service-disabled veteran-owned small business concerns and veteran-owned small businesses shall be at least commensurate with the Department's annual service-disabled veteran-owned small business and veteran-owned small business prime contracting goals for the total value of projected subcontracts to support the sales for the commercial plan.

(d) To be credited toward goal achievements, businesses must be verified as eligible in the Vendor Information Pages database. The contractor shall annually submit a listing of service-disabled veteran-owned small businesses and veteran-owned small businesses for which credit toward goal achievement is to be applied for the review of personnel in the Office of Small and Disadvantaged Business Utilization.

Subcontracting Plan Goals

Goals must be based on a percentage of total subcontracting dollars

Goals “may” also be based on a percentage of total contract value (TCV)

FAR 19.704(a)(2): “For individual subcontracting plans only, a contracting officer may require the goals referenced in paragraph (a)(1) of this section to be calculated as a percentage of total contract dollars, in addition to the goals established as a percentage of total subcontract dollars”

NASA requires goals to also be based on total contract value (TCV)

NASA 1852.219-75 Individual Subcontracting Reports.

When submitting Individual Subcontracting Reports in eSRS in accordance with FAR 52.219-9(l) (1), the contractor shall enter goals as a percentage of total contract value as well as a percentage of total subcontract dollars.

FPDS Subcontracting Plan Codes

Required for a DCA, Purchase Order, Delivery Order against a BOA, and Part 13 BPA Call.
Propagated for a Delivery Order against FSS, GWAC and IDC.

N/A for Part 8 BPA Call

FPDS Codes on OTSB awards that have subcontracting plans

Code	Description	Explanation
A	Plan Not Included - No Subcontracting Possibilities	A Subcontracting Plan was not included in the contract because subcontracting possibilities do not exist (FAR 19.705-2I)
B	Plan Not Required	No Subcontracting Plan was required. (Ex. The action did not meet the dollar thresholds in FAR 19.702(a)).
C	Plan Required - Incentive Not Included	Includes a Subcontracting Plan but does not include additional incentives (FAR 19.702(a) and FAR 19.708(c)). This value is end dated as of May 1, 2015.
D	Plan Required - Incentive Included	Includes a Subcontracting Plan and also includes additional incentives (FAR 19.702(a), FAR 19.708(c) and DFARS 219.708(c)). This value is end dated as of May 1, 2015.
E	Plan required (Pre-2004)	Plan Required (Pre 2004)
F	Individual Subcontract Plan	Applies to a specific contract with goals that covers the entire contract period, including option periods (FAR 19.701)
G	Commercial Subcontract Plan	Commercial plan” means a subcontracting plan (including goals) that covers the offeror’s fiscal year and that applies to the entire production of commercial items sold by either the entire company or a portion thereof (e.g., division, plant, or product line) (FAR 19.701)
H	DOD Comprehensive Subcontract Plan	A subcontracting plan based on a plant, division, or company-wide basis. This value is only valid for DoD. (DFARS 219.702).

How to Code a Contract with a Master Plan??

- A Master Plan is a preapproved template containing all the requirements of FAR 52.219-9 except goals and goal rationale for the specific contract
- Goals are created on a contract-by-contract basis
- The Master Plan and the GOALS are married and become an INDIVIDUAL PLAN.
- Select “individual plan” in FPDS.

Coding Commercial Plans/DOD Comprehensive Plans

- Code G – Commercial Subcontracting Plan
- Code H – DOD Comprehensive Subcontracting plan
 - can only be used to fulfill the subcontracting plan requirement on DOD contracts
 - Cannot be used by civilian agencies to fulfill the subcontracting plan requirement

Use these codes even when the subcontracting plan was pre-approved by another agency

Otherwise, the contractor's subcontractors cannot submit their ISR reports.

Contract Modifications affecting Subcontracting Plans

Size Re-representation Contract Modifications

- Novation Agreement, Re-representation of Non-Novated Merger/Acquisition, Re-representation, or addition of a Subcontracting Plan
- FPDS allows for a change to the Subcontracting Plan field

The value from the Base shall propagate to the Modification, and Subcontract Plan shall be open on the Modification. The user shall be permitted to change the value, but a change is not required

Award	DO referencing an FSS, GWAC and Multiple Award IDC	DO referencing a Single Award IDC	DO referencing a BOA	PO	DCA	Part 8 BPA Call	Part 13 BPA Call	MOD				
	Propogates	Propogates	Required	Required	Required	N/A	Required	Propogates*/Required				
Indefinite Delivery Vehicle (IDV)	FSS	GWAC	BOA	Part 8 BPA	Part 13 BPA	IDC	MOD					
	Required	Required	N/A	N/A	N/A	Required	Propogates*/Required					

*Required when Novation Agreement, Rerepresentation of Non-Novated Merger/Acquisition, Rerepresentation, or Add Subcontracting Plan is selected for Reason for Modification.

The value from the Base shall propagate to the Modification, and Subcontract Plan shall be open on the Modification. The user shall be permitted to change the value, but a change is not required.

- Refer to www.fpds.gov → Worksite → V1.5 Specifications → Data Dictionary. Data field 11B – Subcontracting Plan.

Subcontracting Plan Not Required

No Subcontracting Possibilities

Determining whether a contract requires a subcontracting plan

- (1) Is the award to an “other than small” business?
- (2) Do the proposed total contract dollars exceed the subcontracting plan threshold in FAR [19.702](#), or does the modification cause the total contract dollars to exceed the subcontracting plan threshold (see [19.702\(a\)](#))?
- (3) Do subcontracting possibilities exist?

“Subcontract” definition (FAR 52.219-9):

any agreement (other than one involving an employer-employee relationship) entered into by a Federal Government prime Contractor or subcontractor calling for **supplies or services** required for performance of the contract or subcontract.

No Subcontracting Possibilities

Code A

- FAR 19.705-2

“If it is determined that there are no subcontracting possibilities, the determination shall include a detailed rationale, be approved at a level above the contracting officer, and placed in the contract file.”

Means that the contractor will not be subcontracting at all

- To large or small business
- For supplies or services



eSRS

Data Flow: FPDS → eSRS

Prime Contractors cannot input any data in eSRS until the contract is in FPDS

- Ensure the contract is reflected in FPDS as soon as possible
 - Contractor will receive “contract not found” error code if contract is not in FPDS at the time they try to enter their ISR report
- “Subcontracting Plan Required” field must be marked for the contractor to submit an ISR report
- To be in compliance with reporting requirements, contractors resort to submitting a paper SF294 if the contract has not been entered in FPDS
- Data flows from FPDS to eSRS in real time



Resources

Quick Reference Guides

- [Quick Reference for Federal Government Contractors Filing SSR for Commercial Plan](#)
- [Quick Reference for Federal Government Contractors Filing SSR for Individual Plan](#)
- [Quick Reference for Federal Government Contractors Submitting SDB Participation Report](#)
- [Quick Reference for Federal Government Contractors Submitting SDB Year-End Report](#)
- [Quick Reference for Federal Government Prime Contractors Filing ISR](#)
- [Quick Reference for Federal Government Subcontractors Filing ISR](#)
- [Quick Reference Recommendation for Federal Government Employees Generating Reports](#)

Webinars

For Contractor Users:

- [Contractor Submitting an Individual Subcontract Report \(ISR\)](#)
- [Contractor Submitting an Summary Subcontract Report \(SSR - Individual\)](#)

For Government Users:

- [Government Review of the Individual Subcontract Report \(ISR\)](#)
- [Government Review of the Summary Subcontracting Report \(SSR\)](#)

Does FPDS track subcontracting plan information?

eSRS pulls basic contract data from FPDS but only for contracts with the “subcontracting plan required” field

Subcontracting Plan goals and accomplishments are input by contractors. They are not pulled from FPDS.

Subcontracting plan accomplishments are tracked in the electronic subcontracting system called eSRS.

Contractors cannot submit the required reports in eSRS until the contract is input in FPDS with the “subcontracting plan required” field

Data cannot be deleted from eSRS

eSRS is part of the IAE and expected to be redesigned in the near future

Contractor Cannot Find Contract in eSRS

For a contract to appear in eSRS, one of the “subcontracting plan required” fields must have been selected in FPDS

The screenshot displays the eSRS (Electronic Subcontracting Reporting System) interface. At the top, the 'Preference Programs / Other Data' section is visible, containing the following fields:

- Contracting Officer's Business Size Selection: Other than Small Business
- Subcontract Plan: Plan Not Required (indicated by a red arrow)
- Price Evaluation Percent Difference: 0 %

The main content area shows a search result for contract number FA868219C0009, stating: "Contract Number FA868219C0009 does not require ESRS reporting". Below this, a note explains that if a contractor is unable to find or report on a contract, they must contact the agency for help. A red arrow points to the note. At the bottom, the 'Contract Request Form (Step 1)' is shown, with the 'Prime Contract #' field containing the value FA868219C0009.

- No one can correct this except the agency
- This is a **stopping point for contractors** until it is resolved, and the contractor must resort to filing a paper SF294 to be compliant
- Other Reasons:
 - Company name has changed (merger/acquisition)
 - Contractor is not typing in the exact contract number (recommend going to FPDS to try to find the contract)
 - Contract is on another individual's "contract worklist"

Due date for FY21 Year-End ISR and SSR Reports

15-day extension has been provided to the contractor for submission of the FY 21 Year-End ISR and SSR Reports

Type of Report	Reporting Period Ending Date	Report's Official Due Date	Extended Due Date that Contractor must submit Report (including FINAL reports) no later than	Government must Review & Acknowledge Receipt or Reject Report no later than
End-Year ISR & SSR	September 30, 2021	October 30, 2021	November 14, 2021	December 14, 2021

- Agencies will have seventy-five (75) days from the reports' ending dates to acknowledge receipt or reject the initial reports (December 14, 2021)
- Contractors must revise any report rejected by the contracting officer within 30 days of the rejection notice per FAR § 52.219-9(l).
- Agencies must review the revised report within 30 days of submittal.

SSR Reports in ESRS

	FY20	FY19
Accepted SSR Reports	5457	5772
Pending SSRs	463	183
SSRs from Commercial Plans*	1863	2063
SSRs from Individual Plans*	4094	3972
SSRs from Comprehensive Plans	9	9
*includes accepted, pending, reopened, and revised SSR reports (not drafts or rejected SSRs)		



Order-Level Reporting

Task Orders Goals

FAR 19.705-1(b)(2):

Contracting officers placing orders may establish small business subcontracting goals for each order. Establishing goals shall not be in the form of a new subcontracting plan as a contract may not have more than one plan (19.705-2(e)).

FAR 52.219-9(d)(10)(iii):

After November 30, 2017, include subcontracting data for each order when reporting subcontracting achievements for indefinite-delivery, indefinite-quantity contracts with individual subcontracting plans where the contract is intended for use by multiple agencies;

13 CFR 125.3(h):

Multiple award contracts.

(1) Except where a prime contractor has a commercial plan, the contracting officer shall require a subcontracting plan for each multiple award indefinite delivery, indefinite quantity contract (including Multiple Award Schedule), where the estimated value of the contract exceeds the subcontracting plan thresholds in paragraph (a) of this section and the contract has subcontracting opportunities.

(2) Contractors shall submit small business subcontracting reports for individual orders to the contracting agency on an annual basis.

(3) The agency funding the order shall receive credit towards its small business subcontracting goals. More than one agency may not receive credit towards its subcontracting goals for a particular subcontract.

(4) The agency funding the order may in its discretion establish small business subcontracting goals for individual orders, blanket purchase agreements or basic ordering agreements.

Subcontracting Goals for Orders on IDIQ Contracts

FAR 19.705-2(e)

A contract may not have more than one subcontracting plan.

However, a contracting officer may establish separate subcontracting goals for each order under an indefinite-delivery, indefinite-quantity contract (FAR 19.705-1(b)(2)).

eSRS has been expanded to allow contractors to input achievements for orders.

SBA is working on a policy notice to clarify when order-level reports are required (ie, for every order? For orders above a threshold? Only for orders under multi-agency MAC contracts or when the CO requires order-level goals?).

FPDS prompts for Subcontracting Plan

www.fpds.gov → Worksite → V1.5 Specifications → Data Dictionary. Data field 12B – Award Type.

Award	DO referencing an FSS, GWAC and Multiple Award IDC	DO referencing a Single Award IDC	DO referencing a BOA	PO	DCA	Part 8 BPA Call	Part 14 BPA Call	MOD
	Propogates	Propogates	Required	Required	Required	N/A	Required	Propogates*/Required
Indefinite Delivery Vehicle (IDV)	FSS	GWAC	BOA	Part 8 BPA	Part 13 BPA	IDC	MOD	
	Required	Required	N/A	N/A	N/A	Required	Propogates*/Required	

*Required when Novation Agreement, Re-representation of Non-Novated Merger/Acquisition, Re-representation, or Add Subcontracting Plan is selected for Reason for Modification.

-

The value from the Base shall propagate to the Modification, and Subcontract Plan shall be open on the Modification. The user shall be permitted to change the value, but a change is not required.

Screen shot of agency view of order-level reports

Browser: eSRs
 URL: https://www.esrs.gov/?s=manager_sa_reports&_mode=_form&_tab=core&id=3c7080e2aaa9a6e8daf43d9a2fd3ea09

SUBCONTRACT AWARDS: Taskorders

Items 1-7 of 7

Task Order #	Whole Dollar Amounts	Notes
15DDHQ21F00600169	<ul style="list-style-type: none"> Small Business Concerns: \$343638 Large Business Concerns: \$0 Small Disadvantaged Business: \$0 Women-Owned Small Business: \$0 Historically Black Colleges and Universities and Minority Institutions: \$0 HUBZone Small Business: \$25488 Veteran-Owned Small Business: \$32078 Service-Disabled Veteran-Owned Small Business: \$0 Alaska Native Corporations (ANCs) and Indian Tribes (Not Certified): \$0 Alaska Native Corporations (ANCs) and Indian Tribes (Not Small Businesses): \$0 	
15JA0520F00000095	<ul style="list-style-type: none"> Small Business Concerns: \$390071 Large Business Concerns: \$0 Small Disadvantaged Business: \$0 Women-Owned Small Business: \$0 Historically Black Colleges and Universities and Minority Institutions: \$0 HUBZone Small Business: \$0 Veteran-Owned Small Business: \$0 Service-Disabled Veteran-Owned Small Business: \$0 Alaska Native Corporations (ANCs) and Indian Tribes (Not Certified): \$0 Alaska Native Corporations (ANCs) and Indian Tribes (Not Small Businesses): \$0 	
2032H520F00422	<ul style="list-style-type: none"> Small Business Concerns: \$0 Large Business Concerns: \$0 Small Disadvantaged Business: \$0 Women-Owned Small Business: \$0 Historically Black Colleges and Universities and Minority Institutions: \$0 HUBZone Small Business: \$0 Veteran-Owned Small Business: \$0 Service-Disabled Veteran-Owned Small Business: \$0 Alaska Native Corporations (ANCs) and Indian Tribes (Not Certified): \$0 Alaska Native Corporations (ANCs) and Indian Tribes (Not Small Businesses): \$0 	
28321318FDX030264	<ul style="list-style-type: none"> Small Business Concerns: \$0 Large Business Concerns: \$45672 Small Disadvantaged Business: \$0 Women-Owned Small Business: \$0 Historically Black Colleges and Universities and Minority Institutions: \$0 HUBZone Small Business: \$0 Veteran-Owned Small Business: \$0 Service-Disabled Veteran-Owned Small Business: \$0 Alaska Native Corporations (ANCs) and Indian Tribes (Not Certified): \$0 Alaska Native Corporations (ANCs) and Indian Tribes (Not Small Businesses): \$0 	
	<ul style="list-style-type: none"> Small Business Concerns: \$0 Large Business Concerns: \$387510 Small Disadvantaged Business: \$0 	

Order-Level reporting

When the CO for the awarding contract accepts or rejects the ISR, this also affects all order-level data submitted by the contractor as well (Source: eSRS FAQs)

The agency placing the order cannot view the order-level report in eSRS

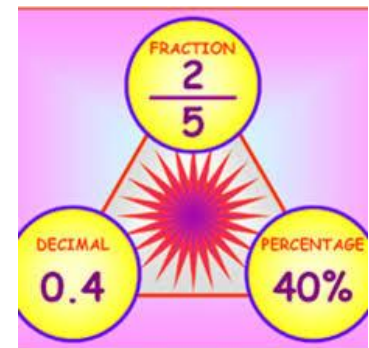
- If an ordering agency wants to view order-level data associated with a contract, the contractor has access to that data and can provide it to the agency, or the ordering agency can contact the Contracting Officer that awarded the base contract.



Monitoring Goal Achievement

Goal Achievements based on TCV

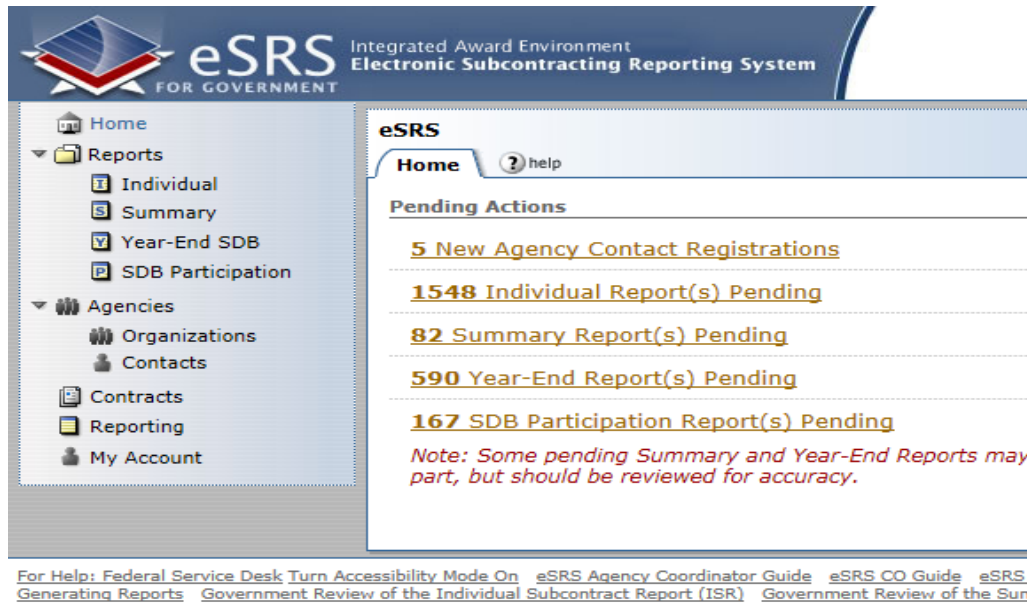
- All subcontracting plan must have goals based on total anticipated subcontracting
- Subcontracting plans may also have goals based on total contract value (TCV) (required for NASA)
- For contracts with goals based on TCV, the goal's denominator is the contract's "Current Contract Value"
 - SBA has noted discrepancies and "Current contract value" is not always used as the denominator
 - Agencies have recently noted that "Current Contract Value" has been removed from the ISR pre-populated data from FPDS
 - Contractor's achievements for TCV goals may appear low during contract performance period because funding is not fully applied



MONITORING Performance of an Individual Subcontracting Plan through the ISR Report

Click on “Individual” Reports

Click on Individual



The screenshot displays the eSRS (Integrated Award Environment Electronic Subcontracting Reporting System) web application. The navigation menu on the left includes: Home, Reports (with a sub-menu for Individual, Summary, Year-End SDB, and SDB Participation), Agencies (with sub-menus for Organizations and Contacts), Contracts, Reporting, and My Account. The 'Individual' option under the Reports menu is highlighted. The main content area shows a 'Pending Actions' section with the following data:

Category	Count
New Agency Contact Registrations	5
Individual Report(s) Pending	1548
Summary Report(s) Pending	82
Year-End Report(s) Pending	590
SDB Participation Report(s) Pending	167

Note: Some pending Summary and Year-End Reports may part, but should be reviewed for accuracy.

For Help: [Federal Service Desk](#) [Turn Accessibility Mode On](#) [eSRS Agency Coordinator Guide](#) [eSRS CO Guide](#) [eSRS P Generating Reports](#) [Government Review of the Individual Subcontract Report \(ISR\)](#) [Government Review of the Sum](#)

MONITORING Performance of an Individual Subcontracting Plan through the ISR Report

Individual Subcontract Report (SSR)

Click on “Search Filters,” then Contract Magnifier

powered by simplic

E-GOV USA.GOV

eSRS Integrated Award Environment Electronic Subcontracting Reporting System FOR GOVERNMENT

Home

Reports

- Individual
- Summary
- Year-End SDB
- SDB Participation

Agencies

- Organizations
- Contacts
- Contracts
- Reporting
- My Account

Individual Subcontracting Reports

All Individual Reports Accepted Individual Reports Pending Individual Reports Advanced Search ? help

Search Filters

Status Pending

Report Year 2017

Report Type regular

Contract #

Show Me Prime Reports

Keywords searches duns, vendor name, contracting office id and submitter email

Days Since Last Action (>=)

Apply Search Cle

Items 1-20 of 25344 < Previous | Jump 1 | Next

Contract Number	Contractor	Agency ID	Prime/Sub	Status	Type	Period	Year	Office ID	Sub By	Date Submitted	Options
HHS123456789	Wallace Inc.	7599	Prime	ACC - May 30, 2017 3:24 pm	regular	Mar 31	2017	9999901	earlyretirement@please.com	May 30, 2017 1:51 pm	Save PD

Click Search Filters

Then Click Magnifier

back to reports list View Printable Report save PDF

SUBCONTRACTING REPORT FOR INDIVIDUAL CONTRACTS

1. DUNS #: [REDACTED]

2. Verify Data:

If the data provided on this step from FPDS is correct, please choose "yes". Otherwise, choose "no" and contact your contracting officer to correct the problem.

Yes

3. Corporation, Company or Subdivision Covered

a. Vendor Name:

[REDACTED] INC .

b. Vendor Physical Address:

Street Address:

[REDACTED]

City:

NOVI

State (All U.S. Territories are available under the State drop down):

Michigan

Country:

United States

Zip+4:

483754710

c. Vendor Mailing Address:

Street Address:

[REDACTED]

City:

NOVI

State (All U.S. Territories are available under the State drop down):

Michigan

Country:

United States

Zip+4:

483754710

Information flows from FPDS-NG, therefore if incorrect the CO will have to do a mod or make a correction if they have access to do so.

Report Actions

[Dropdown] Go

Action History

May 30, 2017 3:24 pm
Report Accepted [REDACTED]
May 30, 2017 1:51 pm
Report Submitted [REDACTED]

Last 3 Notes

[REDACTED] May 30, 17 - 15:24
Acceptance Note
Accepted

4. Date Signed:

April 25, 2017

5. Agency Awarding Contract:

[REDACTED]

6. Contracting Office Agency ID:

[REDACTED]

7. Contracting Office Agency Name:

[REDACTED]

8. Contracting Office ID:

[REDACTED]

9. Contracting Office Name:

[REDACTED]

10. Prime Contract Number:

[REDACTED]

11. Product/Service Code:

D306

12. Is your contract administered by an office other than the Contracting Office that awarded the contract?:

No

FPDS Fed

SUBCONTRACTING REPORT FOR INDIVIDUAL CONTRACTS CONT'D

1. Contact Information:

[REDACTED]

2. Reporting Period From Inception of Contract Thru::

Mar 31

a. Year:

2017

3. Type of Report:

regular

4. Agency Awarding Contract:

[REDACTED]

5. Report Submitted As:

prime contractor

CO's only review reports submitted from Prime Contractors

Verify Amounts w/ Subcontracting Plan

SUBCONTRACT AWARDS

1. Dollars and Percentages in the Following Blocks:

Does Not Include Indirect Dollars - If the approved Individual Subcontracting Plan did not indicate that Indirect Subcontracts were included in the dollars and percentage goals?

	Current Goal:			Actual Cumulative:		
	Whole Dollars	Percentage of Total Subcontract Awards	Percentage of Total Contract Value	Whole Dollars	Percentage of Total Subcontract Awards	Percentage of Current Contract Value
2a. SMALL BUSINESS CONCERNS	40,041,880	22.8	0	302,627,915	35.2	0
2b. LARGE BUSINESS CONCERNS	135,407,323	N/A	N/A	557,367,676	64.8	0
2c. TOTAL	175,449,203	100	0	859,995,591	100	0
	Current Goal:			Actual Cumulative:		
	Whole Dollars	Percentage of Total Subcontract Awards	Percentage of Total Contract Value	Whole Dollars	Percentage of Total Subcontract Awards	Percentage of Current Contract Value
3. SMALL DISADVANTAGED BUSINESS (SOB) CONCERNS	2,022,317	1.2	0	38,178,264	4.4	0
4. WOMEN-OWNED SMALL BUSINESS (WOSB) CONCERNS	2,022,317	1.2	Column used only if sub plan also based goals on TCV	25,682,770	3	Column used only if sub plan also based goals on TCV
5. HISTORICALLY BLACK COLLEGES AND UNIVERSITIES (HBCU) AND MINORITY INSTITUTIONS (MI) <i>* This field is required only for NASA contracts that were awarded on or after November 14, 2014.</i>	0	0		0	0	
6. HUBZone SMALL BUSINESS (HUBZone SB) CONCERNS	1,213,390	0.6		4,148,653	0.5	
7. VETERAN-OWNED SMALL BUSINESS CONCERNS	1,213,390	0.6		55,988,349	6.5	
8. SERVICE-DISABLED VETERAN-OWNED SMALL BUSINESS CONCERNS	1,213,390	0.6		5,133,070	0.6	
9. ALASKA NATIVE CORPORATIONS (ANCs) AND INDIAN TRIBES THAT HAVE NOT BEEN CERTIFIED BY THE	0	0		0	0	
	0	0		0	0	
	0	0		0	0	
	0	0		0	0	

Column used only if sub plan also based goals on TCV

Column used only if sub plan also based goals on TCV

ACCEPTING/REJECTING SSR REPORTS

Summary Subcontract Report (SSR)

- SSR Report's structure similar to ISR report's
 - No goals, only subcontract spend
- Submitted for subcontracting that occurred with an agency during the FY reporting period (six months or one year)
 - Not cumulative or directly related to a specific contract
- Some contractors consolidate DUNS/divisions into one SSR Report
- Used for agency credit toward SBA SCORECARD goals
- Companies with commercial plans or DOD comprehensive plans only submit SSR reports, not ISR reports

Accepting and Rejecting eSRS Reports

Terns used in ESRS

Pending: This report has been submitted and is awaiting review to be “Accepted or Rejected” by the Federal Government agency that awarded the contract.

Accept: This report has been reviewed by the Federal Government agency that awarded the contract, and they have found no known errors, issues, or concerns and do not require any clarification, information, and/or correction and is, therefore, “Accepting” receipt of this report’s data. o Although receipt of the report’s data has been “Accepted”, if upon further review, errors, issues, or concerns are found with the report, the Federal Government agency that awarded the contract will “Reopen or Reject” the report to obtain clarification, additional information, and/ or correction.

Reopen: This report’s data was previously “Accepted” by the Federal Government agency that awarded the contract. However, upon further review of the report’s data, the agency found errors, issues, and concerns and requires clarification, additional information, and/or correction. Once the report concerns have been addressed and/or corrected sufficiently by the contractor and resubmitted, the federal agency will “Accept” receipt of the report’s data.

Reject: This report has been reviewed by the Federal Government agency that awarded the contract, and they have found errors, issues and concerns. The report requires clarification, additional information, and/or correction. Thus, they will not accept receipt of the subcontracting report’s data at this time. Once the report concerns have been addressed and/or corrected sufficiently, the federal agency will “Accept” receipt of the report’s data.

Rejecting Reports

Clearly identify the problem in the Rejection Remarks

- Additional “Reopen and Reject” information:
 - An explanation of why you “Rejected or Reopened” the report shall be entered in the notification box of the report (once you click “Reopen” or “Reject,” the notification box will appear).
 - The “Rejected” or “Reopened” report will appear in the “Alerts” box of the report submitter.
 - The submitter must click on the link within the “Alerts” box to view the report.
 - The submitter must resolve the issue brought forth by the Federal Government agency and resubmit the report.
- Example of a “Reject” notification on an SSR report:
 - “The data in your report includes last fiscal year’s subcontracting accomplishments. Please resubmit your report with just the current reporting periods subcontracting accomplishments. “

Bad Reasons for Rejecting Reports

Clearly identifying the problem

- “Your small business subset achievements (SDB/WOSB/HUBZone/VOSB/SDVOSB) do not total what you are reporting for small business”
- “You have failed to enter a large business goal”

N/A

SUBCONTRACT AWARDS

1. Dollars and Percentages in the Following Blocks:
Does Not Include Indirect Dollars - If the approved Individual Subcontracting Plan did not indicate that Indirect Subcontracts were included in the dollars and percentage goals?

	Current Goals:			Actual Cumulative:		
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2a. SMALL BUSINESS CONCERNS	40,041,880	22.8	0	302,627,915	35.2	0
2b. LARGE BUSINESS CONCERNS	135,407,323	N/A	N/A	557,367,676	64.8	0
2c. TOTAL	175,449,203	100	0	859,995,591	100	0

	Current Goals:			Actual Cumulative:		
	Whole Dollars	Percentage of Total Subcontract Awards	Percentage of Total Contract Value	Whole Dollars	Percentage of Total Subcontract Awards	Percentage of Current Contract Value
3. SMALL DISADVANTAGED BUSINESS (SDB) CONCERNS	2,022,317	1.2	0	38,178,264	4.4	0
4. WOMEN-OWNED SMALL BUSINESS (WOSB) CONCERNS	2,022,317	1.2	0	25,682,770	3	0
5. HISTORICALLY BLACK COLLEGES AND UNIVERSITIES (HBCU) AND MINORITY INSTITUTIONS (MI) <small>* This field is required only for NSA contracts that were awarded on or after November 14, 2024.</small>	0	0	0	0	0	0
6. HUBZone SMALL BUSINESS (HUBZone SB) CONCERNS	1,213,390	0.6	0	4,148,653	0.5	0
7. VETERAN-OWNED SMALL BUSINESS CONCERNS	1,213,390	0.6	0	55,988,349	6.5	0
8. SERVICE-DISABLED VETERAN-OWNED SMALL BUSINESS CONCERNS	1,213,390	0.6	0	5,133,070	0.6	0
9. ALASKA NATIVE CORPORATIONS (ANCSA) AND INDIAN TRIBES THAT HAVE NOT BEEN RECOGNIZED BY THE	n	n	n	n	n	n

- The task orders have \$0 for all fields - please complete or remove the individual task orders from the report, if possible.
- Contract under old company name but report submitted under the new company name

Solutions to Common Problems

Using Copy Data Feature

- Contractors cannot copy an ISR when there is a change to the contract's information since the "copied" ISR doesn't pull in the updated information.
 - Such as a corrected "Contracting Office ID"
- Refresh data or remove from the Contract Worklist and readd it

Cannot find contract in eSRS

- check to make sure contract is entered in FPDS
- Check to make sure contract shows a subcontracting plan was required
- Check to make sure contractor is entering the contract number correctly
- Check to make sure that contract is not on another individual's worklist

When to contact the Federal Service Desk (FSD)

FSD is for Technical Assistance Only

Examples of Technical Questions:

- How the system functions (i.e., Do I need a contract number to file an SSR?)
- User functionality (i.e., add a role, registration, contract work list, can't view report)
- eSRS agency contact information (i.e., Agency Coordinator or Point of Contact) for FOIA request and assistance on items with which the technical help desk cannot assist you
- General information on the subcontracting reports being filed (when is the SSR due?)
- If the information pulled from your DUNS # is incorrect
- Contractor registered in eSRS using the wrong DUNS number
- Migration of previously submitted reports from one user to another

Non-technical assistance (which will not be answered) includes:

- Subcontracting/subcontracting reporting questions related to policies, processes and procedures
- Questions pertaining to the data in a subcontracting report submitted
- Question related to the contract or subcontracting plan
- Questions related to data within eSRS or eSRS user responsibilities

Federal Service Desk (www.fsd.gov)

- chat live with a representative
- submit a question
- search the answer center
- call and speak to a representative (1- 866-606-8220)



COMMON ERRORS

Common Issues on ISR/SSR Reports

	Current Goal:			Actual Cumulative:		
	Whole Dollars	Percentage of Total Subcontract Awards	Percentage of Total Contract Value	Whole Dollars	Percentage of Total Subcontract Awards	Percentage of Current Contract Value
3. SMALL DISADVANTAGED BUSINESS (SDB) CONCERNS	7,717,137	0	70	19,215,285	58.8	0
4. WOMEN-OWNED SMALL BUSINESS (WOSB) CONCERNS	7,714	0	7	2,012,993	6.2	0
5. HISTORICALLY BLACK COLLEGES AND UNIVERSITIES (HBCU) AND MINORITY INSTITUTIONS (MI) <i>* This field is required only for NASA contracts that were awarded on or after November 14, 2014.</i>	0	0	0	0	0	0
6. HUBZone SMALL BUSINESS (HUBZone SB) CONCERNS	330,734	0	3	2,012,963	6.2	0
7. VETERAN-OWNED SMALL BUSINESS CONCERNS	1,102,448	0	10	12,664,712	38.8	0
8. SERVICE-DISABLED VETERAN-OWNED SMALL BUSINESS CONCERNS	1,102,448	0	10	2,098,971	6.4	0
9. ALASKA NATIVE CORPORATIONS (ANCs) AND INDIAN TRIBES THAT HAVE NOT BEEN CERTIFIED BY THE SMALL BUSINESS ADMINISTRATION AS SMALL DISADVANTAGED BUSINESSES	0	0	0	0	0	0
10. ALASKA NATIVE CORPORATIONS (ANCs) AND INDIAN TRIBES THAT ARE NOT SMALL BUSINESSES	0	0	0	0	0	0

All subcontracting plans must have goals based on Total Subcontract Awards

FAR 19.704(a)(2)

MONITOR

Common Issues on ISR/SSR Reports

- **VOSB goal is higher than SDVOSB goal**

Current Goal:			
	Whole Dollars	Percentage of Total Subcontract Awards	Percentage of Total Contract Value
3. SMALL DISADVANTAGED BUSINESS (SDB) CONCERNS	13,440,000	9.6	4.4
4. WOMEN-OWNED SMALL BUSINESS (WOSB) CONCERNS	16,520,000	11.8	5.4
5. HISTORICALLY BLACK COLLEGES AND UNIVERSITIES (HBCU) AND MINORITY INSTITUTIONS (MI) <i>* This field is required only for NASA contracts that were awarded on or after November 14, 2014.</i>	0	0	0
6. HUBZone SMALL BUSINESS (HUBZone SB) CONCERNS	12,320,000	8.8	4
7. VETERAN-OWNED SMALL BUSINESS CONCERNS	4,480,000	3.2	1.5
8. SERVICE-DISABLED VETERAN-OWNED SMALL BUSINESS CONCERNS	9,240,000	6.6	3

All SDVOSBs are also VOSBs. VOSB \$ and % should be higher than SDVOSB

MONITOR

Common Issues on ISR/SSR Reports

- Commercial Plan SSR: percentage attribute to an agency is too high

Range of % Attribute	# of SSRs Accepted	Approving Agency	
		VA	GSA
0 ~ 10	101		
10 ~ 20	8		
20 ~ 30	1		
30 ~ 40	4		
40 ~ 50	2		
66	1	1	
67	1	1	
68	1		1
85	1	1	
86	1		1
90	1	1	
97	1	1	
99	3	2	1
99.9	11	11	
Summary	137	18	3

9. ALASKA NATIVE CORPORATIONS (ANCs) AND INDIAN TRIBES THAT ARE NOT SMALL BUSINESSES

Agency

VETERANS AFFAIRS, DEPARTMENT OF (3600)

Total

10. Specify agencies to which you are submitting this report and percentage. The % entered here represents the % of subcontracting attributable to each agency.

Agency	Percentage	Approver
VETERANS AFFAIRS, DEPARTMENT OF (3600)	99.9%	✓

11. Remarks:



U.S. Small Business
Administration

Questions